## Today.

Last time:

Shared (and sort of kept) secrets. Tolerated Loss: erasure codes.

Today: tolerate corruption!

Erasure Codes.

Error Correction!!!!

### Solution Idea.

n packet message, channel that loses k packets.

Must send n+k packets!

Any *n* packets should allow reconstruction of *n* packet message.

Any n point values allow reconstruction of degree n-1 polynomial.

Seem related?

Use polynomials.

Big Idea View:

Any set of n points contain information about n coefficients.

or even any other set of *n* points!!!

"Information" about coefficients smeared across the *n* points.

Linear Algebra View:

Representing vector (message) in different basis.

Many bases!

### The mathematics.

There is a unique polynomial of degree  $\it d$  that contains any  $\it d+1$  points.

Assumption: a field, in particular, arithmetic mod p.

Big Idea:

A polynomial:  $P(x) = a_d x^d + \cdots + a_0$  has d + 1 coefficients. Any set of d + 1 points determines the polynomial.

Stare at the above. What does it mean? Many representations of a polynomial!

One coefficient represention.

Many, many point, value representations.

Some details:

Degree *d* generally degree "at most" *d*. (example: choose 10 points on a line.)

Arithmetic  $(\text{mod } p) \implies \text{work with } O(\log p)$  bit numbers.

### The Scheme

**Problem:** Want to send a message with *n* packets.

**Channel:** Lossy channel: loses *k* packets.

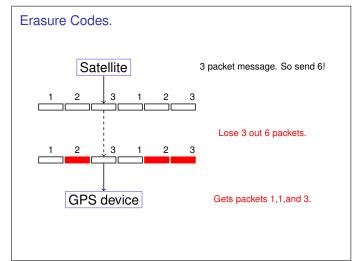
**Question:** Can you send n+k packets and recover message?

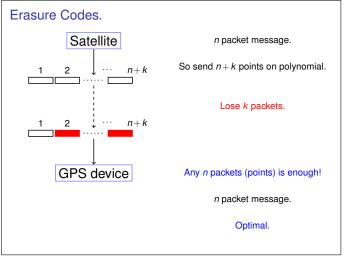
A degree n-1 polynomial determined by any n points!

Erasure Coding Scheme: message =  $m_0, m_1, \dots, m_{n-1}$ .

- 1. Choose prime  $p \approx 2^b$  for packet size b.
- 2.  $P(x) = m_{n-1}x^{n-1} + \cdots + m_0 \pmod{p}$ .
- 3. Send P(1), ..., P(n+k).

Any n of the n+k packets gives polynomial ...and message!





## Polynomials.

- ...give Secret Sharing.
- ..give Erasure Codes.

#### **Error Correction:**

Noisy Channel: corrupts *k* packets. (rather than loses.) Additional Challenge: Finding which packets are corrupt.

# Properties: proof.

P(x): degree n-1 polynomial. Send  $P(1), \dots, P(n+2k)$ Receive  $R(1), \dots, R(n+2k)$ At most k i's where  $P(i) \neq R(i)$ .

### Properties:

- (1) P(i) = R(i) for at least n + k points i, (2) P(x) is unique degree n 1 polynomial
  - that contains  $\geq n + k$  received points.

#### Proof:

- (1) Sure. Only *k* corruptions.
- (2) Degree n-1 polynomial Q(x) consistent with n+k points.

Q(x) agrees with R(i), n+k times.

P(x) agrees with R(i), n+k times.

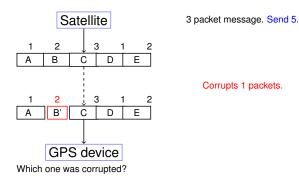
Total points contained by both: 2n+2k. *P* Pigeons. Total points to choose from : n+2k. *H* Holes.

Points contained by both  $: \ge n$ .  $\ge P - H$  Collisions.

 $\implies$  Q(i) = P(i) at n points.

 $\implies Q(x) = P(x).$ 

### **Error Correction**



# Argument on example: n = 3, k = 1

3 packet message.

Send n+2k=5 points on degree 3 polynomial P(x).

Recieve: R(1), R(2), R(3), R(4), R(5).

Only one *i*, where  $R(i) \neq P(i)$ .

P(x) contains 4 of the points  $R(1), \ldots, R(5)$ .

Another degree 3 polynomial, Q(x)

contains 4 of the points  $R(1), \ldots, R(5)$ .

P(x) and Q(x) have 3 points in common.

Since: P(x) contains 4, Q(x) contains 4. There are only 5. So they disagree on 2.

Degree 3  $\implies$  P(x) = Q(x)

### The Scheme.

**Problem:** Communicate n packets  $m_1, \ldots, m_n$  on noisy channel that corrupts  $\leq k$  packets.

#### Reed-Solomon Code:

- 1. Make a polynomial, P(x) of degree n-1, that encodes message.
  - ▶  $P(1) = m_1, ..., P(n) = m_n$ .
  - ► Comment: could encode with packets as coefficients.
- 2. Send P(1), ..., P(n+2k).

**After noisy channel:** Recieve values R(1), ..., R(n+2k).

### Properties:

- (1) P(i) = R(i) for at least n + k points i,
- (2) P(x) is unique degree n-1 polynomial that contains > n+k received points.

### Example.

Message: 3,0,6.

Reed Solomon Code:  $P(x) = x^2 + x + 1 \pmod{7}$  has

P(1) = 3, P(2) = 0, P(3) = 6 modulo 7.

Send: P(1) = 3, P(2) = 0, P(3) = 6, P(4) = 0, P(5) = 3.

(Aside: Message in plain text!)

Receive R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3.

P(i) = R(i) for n + k = 3 + 1 = 4 points.

### Slow solution.

#### **Brute Force:**

For each subset of n+k points Fit degree n-1 polynomial, Q(x), to n of them. Check if consistent with n+k of the total points. If yes, output Q(x).

- ► For subset of n+k pts where R(i) = P(i), method will reconstruct P(x)!
- ▶ For any subset of n+k pts,
  - there is unique degree n-1 polynomial Q(x) that fits n of them
  - 2. and where Q(x) is consistent with n+k points  $\implies P(x) = Q(x)$ .

Reconstructs P(x) and only P(x)!!

## Ditty...

Oh where, Oh where has my little dog gone? Oh where, oh where can he be

With his ears cut short And his tail cut long Oh where, oh where can he be?

Oh where, Oh where have my packets gone.. wrong? Oh where, oh where do they not fit. With the polynomial well put

But the channel a bit wrong Where, oh where do we look?

## Example.

Received R(1)=3, R(2)=1, R(3)=6, R(4)=0, R(5)=3Find  $P(x)=p_2x^2+p_1x+p_0$  that contains n+k=3+1 points. All equations..

$$p_2 + p_1 + p_0 \equiv 3 \pmod{7}$$
  
 $4p_2 + 2p_1 + p_0 \equiv 1 \pmod{7}$   
 $2p_2 + 3p_1 + p_0 \equiv 6 \pmod{7}$   
 $2p_2 + 4p_1 + p_0 \equiv 0 \pmod{7}$   
 $4p_2 + 5p_1 + p_0 \equiv 3 \pmod{7}$ 

Assume point 1 is wrong and solve...no consistent solution!
Assume point 2 is wrong and solve...consistent solution!

## Where oh where can my bad packets be?

$$E(1)(p_{n-1} + \cdots p_0) \equiv R(1)E(1) \pmod{p}$$

$$\mathbf{0} \times E(2)(p_{n-1}2^{n-1} + \cdots p_0) \equiv R(2)E(2) \pmod{p}$$

$$\vdots$$

$$E(m)(p_{n-1}(m)^{n-1} + \cdots p_0) \equiv R(n+2k)E(m) \pmod{p}$$

**Idea:** Multiply equation i by 0 if and only if  $P(i) \neq R(i)$ . All equations satisfied!!!!!

But which equations should we multiply by 0? Where oh where...??

We will use a polynomial!!! That we don't know. But can find!

Errors at points  $e_1, \ldots, e_k$ . (In diagram above,  $e_1 = 2$ .)

**Error locator polynomial:**  $E(x) = (x - e_1)(x - e_2) \dots (x - e_k)$ .

E(i) = 0 if and only if  $e_i = i$  for some i

Multiply equations by  $E(\cdot)$ . (Above E(x) = (x-2).)

All equations satisfied!!

### In general..

$$P(x) = p_{n-1}x^{n-1} + \cdots p_0$$
 and receive  $R(1), \dots R(m = n + 2k)$ .  
 $p_{n-1} + \cdots p_0 \equiv R(1) \pmod{p}$   
 $p_{n-1}2^{n-1} + \cdots p_0 \equiv R(2) \pmod{p}$   
 $p_{n-1}i^{n-1} + \cdots p_0 \equiv R(i) \pmod{p}$   
 $p_{n-1}(m)^{n-1} + \cdots p_0 \equiv R(m) \pmod{p}$   
Error!! .... Where???  
Could be anywhere!!! ...so try everywhere.  
**Runtime:**  $\binom{n+2k}{k}$  possibilitities.  
Something like  $\binom{n/k}{k}$  ...Exponential in  $k$ !

How do we find where the bad packets are efficiently?!?!?!

Received R(1) = 3, R(2) = 1, R(3) = 6, R(4) = 0, R(5) = 3

### Example.

Error locator polynomial: (x-2).

Multiply equation i by (i-2). All equations satisfied!

But don't know error locator polynomial! Do know form: (x - e).

4 unknowns ( $p_0, p_1, p_2$  and e), 5 nonlinear equations.

### ..turn their heads each day,

$$E(1)(p_{n-1} + \cdots p_0) \equiv R(1)E(1) \pmod{p}$$

$$\vdots$$

$$E(i)(p_{n-1}i^{n-1} + \cdots p_0) \equiv R(i)E(i) \pmod{p}$$

$$\vdots$$

$$E(m)(p_{n-1}(n+2k)^{n-1} + \cdots p_0) \equiv R(m)E(m) \pmod{p}$$

...so satisfied, I'm on my way.

m = n + 2k satisfied equations, n + k unknowns. But nonlinear!

Let 
$$Q(x) = E(x)P(x) = a_{n+k-1}x^{n+k-1} + \cdots + a_0$$
.

Equations:

$$Q(i) = R(i)E(i).$$

and linear in  $a_i$  and coefficients of E(x)!

### Example.

Received 
$$R(1) = 3$$
,  $R(2) = 1$ ,  $R(3) = 6$ ,  $R(4) = 0$ ,  $R(5) = 3$   
 $Q(x) = E(x)P(x) = a_3x^3 + a_2x^2 + a_1x + a_0$   
 $E(x) = x - b_0$   
 $Q(i) = R(i)E(i)$ .

$$a_3 + a_2 + a_1 + a_0 \equiv 3(1 - b_0) \pmod{7}$$
  
 $a_3 + 4a_2 + 2a_1 + a_0 \equiv 1(2 - b_0) \pmod{7}$   
 $6a_3 + 2a_2 + 3a_1 + a_0 \equiv 6(3 - b_0) \pmod{7}$   
 $a_3 + 2a_2 + 4a_1 + a_0 \equiv 0(4 - b_0) \pmod{7}$   
 $6a_3 + 4a_2 + 5a_1 + a_0 \equiv 3(5 - b_0) \pmod{7}$ 

$$a_3 = 1$$
,  $a_2 = 6$ ,  $a_1 = 6$ ,  $a_0 = 5$  and  $b_0 = 2$ .  
 $Q(x) = x^3 + 6x^2 + 6x + 5$ .  
 $E(x) = x - 2$ .

# Finding Q(x) and E(x)?

 $\triangleright$  E(x) has degree k ...

$$E(x) = x^k + b_{k-1}x^{k-1} \cdots b_0.$$

 $\implies$  k (unknown) coefficients. Leading coefficient is 1.

• Q(x) = P(x)E(x) has degree n+k-1 ...

$$Q(x) = a_{n+k-1}x^{n+k-1} + a_{n+k-2}x^{n+k-2} + \cdots + a_0$$

 $\implies n+k$  (unknown) coefficients.

Number of unknown coefficients: n+2k.

# Example: finishing up.

$$P(x) = x^2 + x + 1$$
  
Message is  $P(1) = 3$ ,  $P(2) = 0$ ,  $P(3) = 6$ .  
What is  $\frac{x-2}{x-2}$ ? 1  
Except at  $x = 2$ ? Hole there?

# Solving for Q(x) and E(x)...and P(x)

For all points  $1, \ldots, i, n+2k = m$ ,

$$Q(i) = R(i)E(i) \pmod{p}$$

Gives n+2k linear equations.

$$\begin{array}{rcl} a_{n+k-1} + \ldots a_0 & \equiv & R(1)(1+b_{k-1}\cdots b_0) \pmod{p} \\ a_{n+k-1}(2)^{n+k-1} + \ldots a_0 & \equiv & R(2)((2)^k + b_{k-1}(2)^{k-1}\cdots b_0) \pmod{p} \\ & & \vdots \\ a_{n+k-1}(m)^{n+k-1} + \ldots a_0 & \equiv & R(m)((m)^k + b_{k-1}(m)^{k-1}\cdots b_0) \pmod{p} \\ \ldots \text{and } n+2k \text{ unknown coefficients of } Q(x) \text{ and } E(x)! \end{array}$$

...and II | Zit dilitiowil coefficients of Q(x) di

Solve for coefficients of Q(x) and E(x).

Find 
$$P(x) = Q(x)/E(x)$$
.

# Error Correction: Berlekamp-Welsh

Message:  $m_1, \ldots, m_n$ .

#### Sender:

- 1. Form degree n-1 polynomial P(x) where  $P(i)=m_i$ .
- 2. Send P(1), ..., P(n+2k).

### Receiver:

- 1. Receive R(1), ..., R(n+2k).
- 2. Solve n+2k equations, Q(i)=E(i)R(i) to find Q(x)=E(x)P(x) and E(x).
- 3. Compute P(x) = Q(x)/E(x).
- 4. Compute P(1), ..., P(n).

# Check your undersanding.

You have error locator polynomial!

Where oh where have my packets gone wrong?

Factor? Sure.

Check all values? Sure.

Efficiency? Sure. Only n+2k values. See where it is 0.

### Last bit.

**Fact:** Q'(x)E(x) = Q(x)E'(x) on n+2k values of x.

Proof: Construction implies that

$$Q(i) = R(i)E(i)$$

$$Q'(i) = R(i)E'(i)$$

for  $i \in \{1, ..., n+2k\}$ .

If E(i) = 0, then Q(i) = 0. If E'(i) = 0, then Q'(i) = 0.

 $\implies Q(i)E'(i) = Q'(i)E(i)$  holds when E(i) or E'(i) are zero.

When E'(i) and E(i) are not zero

$$\frac{Q'(i)}{E'(i)} = \frac{Q(i)}{E(i)} = R(i).$$

Cross multiplying gives equality in fact for these points.

Points to polynomials, have to deal with zeros!

Example: dealing with  $\frac{x-2}{y-2}$  at x=2.

#### Hmmm...

Is there one and only one P(x) from Berlekamp-Welsh procedure? **Existence:** there is a P(x) and E(x) that satisfy equations.

## Yaay!!

Berlekamp-Welsh algorithm decodes correctly when *k* errors!

## Unique solution for P(x)

**Uniqueness:** any solution Q'(x) and E'(x) have

$$\frac{Q'(x)}{E'(x)} = \frac{Q(x)}{E(x)} = P(x). \tag{1}$$

Proof:

We claim

$$Q'(x)E(x) = Q(x)E'(x) \text{ on } n+2k \text{ values of } x.$$
 (2)

Equation 2 implies 1:

Q'(x)E(x) and Q(x)E'(x) are degree n+2k-1

and agree on n+2k points

E(x) and E'(x) have at most k zeros each.

Can cross divide at *n* points.

$$\Rightarrow \frac{Q'(x)}{E'(x)} = \frac{Q(x)}{E(x)}$$
 equal on  $n$  points.  
Both degree  $\leq n \Rightarrow$  Same polynomial!

# Summary. Error Correction.

Communicate n packets, with k erasures.

How many packets? n+k

How to encode? With polynomial, P(x).

Of degree? n-1

Recover? Reconstruct P(x) with any n points!

Communicate *n* packets, with *k* errors.

How many packets? n+2k

Why?

k changes to make diff. messages overlap

How to encode? With polynomial, P(x). Of degree? n-1.

Recover?

Reconstruct error polynomial, E(X), and P(x)!

Nonlinear equations.

Reconstruct E(x) and Q(x) = E(x)P(x). Linear Equations.

Polynomial division! P(x) = Q(x)/E(x)!

Reed-Solomon codes. Welsh-Berlekamp Decoding. Perfection!

Wow.
Lots of material today